# **Employee Post-Travel Disclosure of Travel Expenses**

Date/Time.Stamp:

				I .
Post-Travel Filing In travel. Submit all form	structions: Complete s to the Office of Pub	this form within 30 day lic Records in 232 Har	ys of returning from t Building.	17 MAR 17 PM 1:33
In compliance with Rube reimbursed/paid for	le 35.2(a) and (c), I m me. I also certify that	ake the following disclo I have attached:	sures with respect to	travel expenses that have been or will
The <u>original</u> Emplo	yee Pre-Travel Author ite Sponsor Travel Cer	rization (Form RE-1), <u>A</u> rtification Form with all	ND attachments (itinera	ry, invitee list, etc.)
Private Sponsor(s) (list	all): Alliance to Sa	ve Energy		<u> </u>
fravel date(s): Febru		<u>-</u>		
Name of accompanyin Relationship to Travelo	<del>-</del>	ny): Child	<u> </u>	·
IF THE COST OF LODG INCLUDE LODGING C Expenses for Employ	OSTS IN EMPLOYEE	EASE DUE TO THE ACC EXPENSES. (Attach addit	COMPANYING SPOU	ISE OR DEPENDENT CHILD, ONLY ry.)
SAPERSES TOT EMPLOY	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
☐ Good Faith Estimate	\$314.10	\$448	\$155.79	\$17 (Whitney Museum) \$26 (One World)
Actual Amount				<u> </u>
Expenses for Accomp	<del></del>	pendent Child (if applie		T'
	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
Good Faith Estimate	NA	NA	NA	NA
☐ Actual Amount				
necessary):	of all meetings and ev	vents attended. See Sena	te Rule 35.2(c)(6). (	Attach additional pages if
(주) (구)	<u> </u>	<u> </u>	<u> </u>	
E3/17/17 (Date)	Yan Zhe (Printed	NA name of traveler)		(Signature of traveler)
EO BE COMPLETE	D BY SUPERVISING	MEMBER/OFFICER:		
have made a determination form, a	nation that the expens	es set out above in connation, lodging, and relate	ections with travel d	escribed in the Employee Pre-Travel ed in Rule 35.
3/17/17			Mu	sour
(Date)			(Signature of Su	pervising Senator/Officer)

(Revised 1/3/11)

# EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the Select Committee on Ethics in SH-220. Incomplete and late travel submissions will not be considered or approved. This form must be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

	Yan Zheng
Name of Traveler:	fice of U.S. Senator Chris Coons
Employing Office/Committee:	
Private Sponsor(s) (list all): Alliance to Save Energy	
February 21-24, 2017 (21st is an even	ening travel day)
Note: If you plan to extend the trip for any reason ;	you must norgy ine committee
Destination(s): New York, New York and Newark, N	lew Jersey
Explain how this trip is specifically connected to the travel	
To learn about new technology and best praction manufacturing and innovation.	ces in the energy industry and its relation to
Name of accompanying family member (if any): None Relationship to Employee: Spouse Child  I certify that the information contained in this form is true,	complete and correct to the best of my knowledge:  (Şignanıre of Employee)
Secretary for the Majority, Secretary for the Minority, and Chap  (Print Senator's/Officer's Name)  The complete under my direct supervision, to accept payme related expenses for travel to the event described above.  The complete gain	ent or reimbursement for necessary transportation, lodging, and I have determined that this travel is in connection with his or her not create the appearance that he or she is using public office for se's spouse or child is appropriate to assist in the representation
(Date)	(Signature of Supervising Senator/Officer)  Form RE-



#### Using less. Doing more.

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CO-CHAIR 61 Quinlones New York Power Authority

Kandeh Yumkeda

TREASURER
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EngGreen Capital Management, ILC
SECRETARY
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Kateri Callahan
Aliance to Save Energy

DIRECTORS Melicea Adams Washington Gas Helen Burt Pacific Gas and Electric Company Paul Camuti Ingersofi Rand Ervin Cash Sloant(D Raton Covanagh Natural Resources Defense Council Mark Copman Kernel Dawkins Jeff Ectel Hannon Amstrong Bruce Edelston Southern Company Anthony Eggert ChraateWorks Foundation Roger Flanagan Lockheed Martin Energy Yal lensen Comfd Thomas R. Kuhn Edison Electric Institute Rendy Leslie Johnson Controls, Inc. Top Lowery Legrand North America Andrew McAllister California Energy Commission M Cave McCurdy American Gas Association Crose McKinney-James

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Gree, Inc.

John Rhodes

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Exted Stephan

Johns Many Be

Susan Story

American Water

Oave Spezupak

Unericon Water
Daye Szczupak
Whirtpool Corporation
On, Susan Tierney
Analysis Group
Marc Ulrich
Southern Cattornia Edison
Clinton Vince
Dentors

January 17, 2017

Yan Zheng IEEE/AAAS Congressional Fellow U.S. Senator Chris Coons 127A Russell SOB Washington, DC 20510

Dear Yan:

The Alliance to Save Energy invites you to join us on a fact-finding trip to Newark, NJ and New York, NY to learn about policies and best practices that are helping to drive advances in energy productivity across the country. As currently planned, this trip will include visits throughout the Greater New York City area and is scheduled for February 22-24, 2017. We have invited staff members from both sides of the aisle and from both Chambers to participate, to ensure well-rounded and robust discussions. A preliminary agenda, yet to be finalized, is attached with this invitation.

During this three-day trip, we will visit building retrofit projects, one of the most energy efficient skyscrapers in the world, a geothermal pilot project, and an energy efficient distillery to explore the advances in energy efficiency businesses have made in their manufacturing processes, buildings and sustainability practices. We also plan to learn from discussions with local officials and members of the New York Power Authority, New York State Energy Research and Development Authority and Mayor of New York City Bill De Blasio's office.

This fact-finding mission is an excellent opportunity for Congressional staff to learn about state activities and businesses' progress to improve their energy productivity. The exchange can be used to develop, refine and advance policies at the federal, state and local levels.

Thank you in advance for your consideration. We expect a significant level of interest in this trip and, with limited capacity, we encourage you to respond at your earliest convenience as we will be accepting participants on a first-come, first-served basis. To meet the requirements set by the Ethics Committee, we will finalize the agenda and attendee list by January 13. If you would like to join us, or have any questions regarding the trip, please feel free to get in touch with Reed Brown, the Alliance's Senior Policy Associate, at <a href="mailto:rbrown@ase.org">rbrown@ase.org</a> or (202) 530-2241.

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Best,

Kateri Callahan

President, Alliance to Save Energy

### PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

Sponsor(s) of the trip (please list all sponsors): Alliance to Save Energy
Description of the trip: A fact-finding trip to New York and New Jersey to learn about policies and
best practices that are helping to drive advances in energy efficiency and energy productivity.
Dates of travel: February 21-24, 2017 (21st - evening travel day)
Place of travel: New York, New York and Newark, New Jersey
Name and title of Senate invitees: Please see attached
I certify that the trip fits one of the following categories:  (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.  -OR-
(B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9).
<ul> <li>I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.</li> <li>− AND −</li> </ul>
I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
I certify that:  The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for de minimis lobbyist involvement.  - AND -
The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9).

9.	USE ONLY IF YOU CHECKED QUESTION 6(B)  I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:				
	(A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip.  — OR —  (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10).  — OR —				
	(C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.				
10.	USE ONLY IF YOU CHECKED QUESTION 9(B)  If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:				
11.	An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hourby-hour), complete, and final itinerary for the trip.				
12.	Briefly describe the role of each sponsor in organizing and conducting the trip:				
	Please see attached.				
13.	Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:				
	Please see attached.				
14	Briefly describe each sponsor's prior history of sponsoring congressional trips:				
	Please see attached.				
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7	Total Expenses for Ea	ch Participant:			
	<b>b</b> ·	Transportation Expenses	2 to Lodging &	Meal Expenses	Other Expense
t		\$250	\$448	\$260	
	Good Faith estimate				
i	Actual Amounts				
Ì	,		<u> </u>	<u>l</u>	<u> </u>
	participation or b) the congressional particip	rip involves an event to e trip involves an event pation: event that is arranged	t that is arranged or or	rgamized specifically	wiin regara to
	participation.				
	<u> </u>	C.13			
•		the location of the eve	nt or trip		
	Please see attached	·	<u> </u>	······································	
				· -	
			C 1114		
•		f hotel or other lodging		L'aladia tha attachas	d document
•		f hotel or other lodging		listed in the attached	d document.
). ).	Guests will be staying		for this trip, which are	listed in the attached	d document.

F	Please see attached.
_	
C	Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class ravel is necessary:
	Attendees will use trains to reach NY/NJ, which will be coach.
	To attend sites in the greater NYC area we will use public transit, mini bus or taxis for transportation.
-	I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).
	List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:
	No entertainment will be provided to, paid for or reimbursed to Senate invitees by the Alliance to Save
	Energy.
	I hereby certify that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):  Signature of Travel Sponsor:
	Name and Title: Kateri Callahan, President
	Name of Organization: Alliance to Save Energy
	Address:1850 M Street, NW, Suite 610, Washington, DC 20036
	Telephone Number:
	Fax Number:
	PAT MINING.

uesday, Feb	ruary 21"
:05 PM	Depart Union Station for Newark Penn Station (Newark, NJ)
	Amtrak 138 Northeast Regional Train (Tickets not yet purchased)
9:11 PM	Arrive at Newark Penn Station
):15 PM	Shuttle from Airport to Hotel
9:20 PM	Arrive at Hotel Indigo
	810 Broad Street
	Newark, NJ 07102
Wednesday,	February 22 <sup>nd</sup>
8:30 AM	Wakeun Call and Breakfast
9:00 AM	Depart for Panasonic Corporation Headquarters – Panasonic Innovation Center
	Walk or Cab
9:15 AM	Arrive at Panasonic Corporation Headquarters
	2 Riverfront Plaza
	Newark, NJ 07102
	Mark Sharn, Group Manager, Panasonic
	Procentation on Panasonic and its investment in energy efficient products, for its own
	have account the businesses and homeowners around the world. Tour of the
	Panasonic Innovation Center, which allows people to witness explore new technologies
	for use both in business and the home in action.
10:45 AM	Depart for Lime Energy
11:00 AM	Arrive at Lime Energy Headquarters
11.00 /111	100 Mulberry Street
	Newark, NJ 07102
	Adam Procell, President and CEO, Lime Energy
	Usud Vace Vice President Lime Fneray
	Description on Lime Energy's husiness model and how the company is able to leverage
	existing financial resources to help small and medium sized businesses fulld ellergy
	afficional projects. This is important because these businesses rarely have people
	dedicated to operat efficiency and each situation is different, which is why hexible
	funding mechanisms are critical in this sector. We will then have lunch at Lime Energy's
	headquarters before doing a site visit of one of their projects.
42-20 014	Depart for Newark Industrial Spraying (Lime Energy project)
12:30 PM	Tour of Lime Energy Project at Newark Industrial Spraying
1:00 PM	12 Amsterdam Street
	Newark, NJ 07105
İ	Adam Procell, President and CEO, Lime Energy
	Lloyd Kass, Vice President, Lime Energy
	Depart for Daikin Solutions Plaza
2:30 PM	Arrival at Daikin Solutions Plaza for Policy Perspectives briefing (more information
3:00 PM	
	included below)
	10 Exchange Place, 21st Floor
	Jersey City, NJ 07302 Policy Perspectives is an event series that the Alliance hosts throughout the year.
5:00 PM	Tour of Daikin Solutions Plaza
1	Greg DiNardo, Solutions Plaza Manager

<del></del>	Presentation and tour at the Daikin Solutions Plaza. Daikin is an HVAC manufacturer and
	the Solutions Plaza is a showcase for Daikin technology and allows visitors to learn more
	about the product and how it works.
7:00 PM	Depart for hotel
7.001100	Hyatt Regency Jersey City on the Hudson
	2 Exchange Place
	Jersey City, NJ 07302
Thursday, Fe	
8:00 AM	Wakeup Call and Breakfast
8:30 AM	Depart Hotel for One World Trade Center Tour with Daikin and Thyssenkrupp
9:00 AM	Arrive at One World Trade Center for Tour with Daikin and Thyssenkrupp
	285 Fulton Street
	New York, NY 10007
	Greg DiNardo, Solutions Plaza Manager
	Angellika Morton, Coordinating Manager
	Matt Wright, Operations Manager
	The visit to the One World Trade Center includes several facets: it will be a continuation
	of the Daikin visit to see Daikin HVAC systems in a real-life setting, it will allow us to
	learn about the Thyssenkrupp elevators that are used in the building, which are some of
	the fastest and most energy-efficient in the world, and to learn about the sustainable
	design principles used when constructing the building.
10:30 AM	Depart for Trane Thermal Storage Project
11:00 AM	Arrive at Trane Thermal Storage Project
	55 Water Street
	New York, NY 10041
	Brenda Amarant, Marketing Leader for Trane NY/NJ Andrew Merrill, General Sales Manager – NYC
	Trane, a subsidiary of Ingersoll Rand, is another company known for its HVAC systems,
	but also installs systems that operate using thermal storage. These systems create ice
	during the night when electricity is cheaper and use it to cool the building during the
	day. This method serves as an alternative to traditional air conditioning systems and
	also offers improvements in energy efficiency.
12:30 PM	Lunch at Whitney Museum
12.30 1	99 Gansevoort Street
	New York, NY 10014
1:30 PM	Tour of Whitney Museum's energy efficiency and resiliency efforts
	Peter Scott, Director of Facilities
	David Selimoski, Engineering Manager
	As a museum, the Whitney Museum has a fairly unique situation when it comes to
Ì	energy efficiency, at least compared to the average building. This includes handling
	large crowds, additional security, specific conditions required for protecting the artwork
	from environmental damage, etc. This visit will include a meeting with the building
	engineers and a brief tour of the building.
2:30 PM	Depart for NYSERDA
3:00 PM	Arrive at NYSERDA for Roundtable Discussion with NYSERDA, NYPA, City of New York
	and NYCEEC (involved in project implementation, financing, etc.)
<u></u>	1359 Broadway

	New York, NY 10018
1	John Rhodes, President and CEO (NYSERDA)
	John Williams, Director of Energy Analysis (NYSERDA)
	Arun Vadhathiri, NYF Energy Manager Program (NYPA)
	John Lee, Deputy Director for Green Buildings and Energy Efficiency (NYC Mayor's Office
	of Long Term Planning and Sustainability)
	Susan Leeds, CEO (NYCEEC)
	Jessica Luk, Director of Communications and Development (NYCEEC)
:00 PM	Depart for hotel
COU PIVI	Courtyard New York Manhattan/Fifth Avenue
	3 E 40th Street
	New York, NY 10016
riday, Febr	uary 24"
3:00 AM	Wakeup Call and Breakfast
3:30 AM	Depart for Grand Central Station
9:00 AM	Arrive at Grand Central Station for Tour with NYPA and MTA
	89 E 42 <sup>nd</sup> Street
	New York, NY 10017
	Peter Bass, Director of Environmental and Energy Policy (MTA)
	Christine Keta, Manager of Program Operations and Administration (NYPA)
	Grand Central Station offers us the ability to learn about building energy efficiency in a
	historic building, which poses unique challenges, and also to learn about transportation
	via the Metropolitan Transportation Authority, which operates the largest metro
	system in the world.
11:30 AM	Depart Grand Central Station for Valley Stream American Water Geothermal Pilot
	Project School Section and tour
12:15PM	Arrive at Project Site (William Buck Elementary School) for lunch, presentation and tour
	75 Horton Ave
	Valley Stream, NY 11581
	Joseph Szafran, External Affairs Manager for American Water
	Presentation and tour of the project to learn about this geothermal pilot project. The
	I make the strike a new method for geothermal systems that maintains functionally will
	reducing installation costs. This is a demonstration project to prove that the concepts
	can in fact work and generate energy savings.
2:15 PM	Depart Valley Stream for Jamaica, Queens
2.13 1 171	Pus Company TRD
3:00 PM	Arrive at Norman Towers (Bluestone Organization project) for tour of sustainable
3.00 1 111	apartment building
	90-14 161st Street
	Jamaica, NY 11432
	Evil Divisions Dartner at The Bluestone Organization
	Ename, efficiency in the residential sector is very difficult because of the high upinonic
	I are to that most families cannot afford. This visit and tour will provide participants with
	insights into why The Bluestone Organization develops energy-efficient apartment
<b>j</b>	buildings and how they are able to cover the additional costs.
	Depart Norman Towers for Industry City Distillery Penn Station
4:45 PM	Depart Norman Towers for tout of anormy and water efficiency measures
4:30 PM	Arrive at Industry City Distillery for tour of energy and water efficiency measures

	33-35 <sup>th</sup> -Street, 6 <sup>th</sup> -Floor Brooklyn, NY 11232 David Kyrejko, Founder Purpose of this visit to is to have a tour of the distillery and learn about unique method		
	used to produce vodka that is six times as energy efficient and three times as water efficient as traditional techniques. In addition, we will learn about how the distillery was able to use existing funding mechanisms to support a new distillery.  *The Alliance will not be covering the cost of any alcohol during this visit		
5:30 PM	Conclusion of Trip – Arrival at Penn Station for train back to Washington, DC		
6:45 PM	Train Departs from Penn Station Amtrak 55 Vermonter (Tickets not yet purchased)		
9:59 PM	Arrive at Union Station in Washington, DC		

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# Policy Perspectives: Energy Efficiency Leadership in the New York Metro Area

Wednesday, February 22 | 3:00 - 5:15 pm Daikin Solutions Plaza | 10 Exchange Pl, 21st Floor, Jersey City, NJ 07302

3:00 pm - 3:15 pm	Registration
3:15 pm - 3:20 pm	Welcome Remarks Kateri Callahan, President, Alliance to Save Energy
3:20 pm - 3:35 pm	Keynote Remarks Senator Cory Booker (D-N.J.)
3:35 pm - 4:15 pm  Area  Moderator: Speakers:	Jill Anderson, EVP and Chief Commercial Officer, New York Power Authority Susan Leeds, CEO, New York City Energy Efficiency Corporation Adam Procell, President & CEO, Lime Energy Bryan Garcia, President and CEO, Connecticut Green Bank Maureen Hassett, SVP, Governance, Communications & Strategic Initiatives
4:15 pm - 4:30 pm	Break
4:30 pm - 5:10 pm  New York Metro Area  Moderator:  Speakers:	Public-Private Partnerships: Advancing Energy Efficiency & Resiliency in the  Sue Coakley, Executive Director, Northeast Energy Efficiency Partnerships Ras Baraka, Mayor of Newark, New Jersey Representative, Consolidated Edison Marc Chamber, Director, Mayor's Office of Sustainability, City of New York John Rhodes, President & CEO, New York State Energy and Research Authority Susan Story, President & CEO, American Water
5:10 pm - 5:15 pm	Closing Remarks Kateri Callahan

#### Addendum to Senate Private Sponsor Travel Certification Form

5.

The following staff members have been invited to participate on this trip.

- Yan Zheng, IEEE/AAAS Congressional Fellow, Office of Senator Chris Coons
- Ariel Marshall, Senior Policy Advisor, Office of Senator Jeanne Shaheen

12.

The Alliance to Save Energy, as the sole sponsor of this trip, was responsible for planning the trip in its entirety and staff members of the Alliance will accompany all participants throughout the duration of the trip.

13.

The Alliance to Save Energy promotes energy efficiency worldwide to achieve a healthier economy, a cleaner environment and energy security. To achieve this mission, the Alliance:

- Leads worldwide energy efficiency initiatives in policy advocacy, research, education, technology deployment and communications that impact all sectors of the economy.
- Provides vision and activism which includes active and engaged members of Congress, leaders from business, the public interest sector and academia.
- Initiates and participates in public-private partnerships, collaborate efforts and strategic alliances to optimize resources and expand its sphere of influence.
- Executes its mission through a team of recognized energy efficiency experts and professionals.

The purpose of this trip is learn about policies and best practices that are helping to drive advances in energy productivity across the country. Our hope is that through visits to sites identified on the itinerary and through meetings with local and state officials that the Congressional staff members will be able to learn about state and business activities and, in turn, use that new knowledge to develop, refine and advance policies at the federal level.

14.

The Alliance has previously sponsored several Congressional field trips.

- Field trip to Boston, Massachusetts that took place from August 10-12, 2016.
- Field trip to San Francisco Bay Area that took place from August 12-14, 2015.
- Field trip to Hartford, CT on August 22-23, 2012 (including travel days).
- Field trip to Denver, CO on January 11-13, 2012 (including travel days).
- Field trip to Philadelphia, PA on August 26, 2011.
- Field trip from August 28, 2005 September 2, 2005 to:
  - o Berlin, Germany
  - o Stuttgart, Germany
  - o Brussels, Belgium
  - o Terneuzen, Netherlands

- o Rotterdam, Netherlands
- o Amsterdam, Netherlands
- Field trip to New York and California that took place from November 30, 2004 –
   December 3, 2004.

15.

The Alliance hosts a range of educational activities.

- Congressional Briefings
  - We host Congressional briefings throughout the year, which typically focus on a particular aspect related to energy efficiency.

January 14, 2016: Cutting Edge Technologies and Businesses: Opening the Door for Energy Efficiency Deployment at Scale

- February 26, 2016: The Role of Benchmarking, Transparency and Codes in Driving a More Efficient Built Environment.
- Energy Efficiency Global Forum
  - O An annual event convened in Washington, DC that brings together executives and policymakers from around the world and across sectors to discuss energy efficiency. In 2016, this exclusive forum explored how utilities, regulators, legislators and companies are incorporating cost-effective energy efficiency measures into their business to help meet increasing demand. The next EE Global Forum is scheduled for May 8-9, 2017 in Washington, DC.
- Accelerate Energy Productivity 2030
  - O A partnership between the U.S. Department of Energy, Alliance to Save Energy and Council on Competitiveness to catalyze action in the public and private sectors to help achieve the goal of doubling our nation's energy productivity.
  - O As part of this initiative, three webinars were held in April to provide an in-depth look at several unique aspects of energy efficiency:
    - April 13, 2015: Building Technologies and Finance
    - April 20, 2015: Smart Grid and Smart Manufacturing
    - April 27, 2015: Transportation and Water
  - Energy 2030 Events
    - o Energy 2030 On the Road These are education, outreach and action campaigns aimed at urging communities through the nation to adopt the goal of doubling U.S. energy productivity. They are held around the country and last year included stops in Seattle, WA, Raleigh, NC and Minneapolis, MN. They follow the same format of other events where panelists discuss a specific theme of energy efficiency and are widely attended by members of local governments, academia, local and state government and the surrounding community.
  - Policy Perspectives Breakfasts
    - O Breakfast sessions where we invite a representative from a Federal agency or Congressional office (Senator/Congressman) to come and speak about priorities or updates regarding energy efficiency for the respective agency or office. There are plans for several of these events in 2017, including in Washington, DC and around the country.

There are several reasons why we have chosen New Jersey and New York as the destination for this trip.

- The American Council for an Energy-Efficiency Economy (ACEEE) produces the annual State Energy Efficiency Scorecard, which ranks states based on energy efficiency policies and practices. New York has consistently been in the Top 10 (5<sup>th</sup> in 2016) of these rankings for the last several years while New Jersey ranked 24<sup>th</sup> in 2016. The pairing of these two states will give trip participants a more complete view by include a state that consistently ranks near the top (New York) and one that has made significant improvements in recent years (New Jersey). In addition to the state ranking, ACEEE also ranks a selection of major cities on the same criteria and New York City was ranked #2 in 2015. Therefore, New York City is an excellent option for such a trip because we can incorporate strong local level actions along with the broader state-level actions.
- The Alliance to Save Energy is also hosting a public briefing in Jersey City on February 22<sup>nd</sup>, which will be included as part of the agenda. The briefing is entitled, "Policy Perspectives: Energy Efficiency Leadership in the New York Metro Area," and will feature speakers from state and local government, regional organizations and local business leaders. A full agenda for the event is included below.
- The Greater New York City area boasts a wide range of field examples and opportunities
  to learn about energy efficiency in a relatively small geographical footprint.
  - Panasonic Corporation

Panasonic is one of the largest product manufacturers in the world, comprised of 473 companies and employing over 260,000 people. The offices in Newark, NJ, which is a new building (LEED Platinum) designed to contribute to Panasonic's efforts to reduce carbon footprint by 50%, also hosts the Panasonic Innovation Center. The central focus of the Innovation Center is displays of solutions designed for business users. The Innovation Center introduces the company's latest technologies, vision, and history. It is divided into various different areas to promote Panasonic's wide range of B2C and B2B fields, from home solutions to avionics, automotive, and eco solutions.

o Lime Energy

Financing for energy efficiency is often the most difficult aspect of a project, especially for small businesses. Lime Energy is the leading national provider of energy savings to utility clients under Small Business Direct Install (SBDI) programs. They accomplish this by being a one-stop shop for the delivery of comprehensive, direct install energy efficiency services.

- o Daikin Solutions Center
  - Daikin Applied designs and manufactures technologically advanced commercial HVAC systems for customers around the world. In fact, Daikin Industries, the parent company, is the largest HVAC manufacturer in the world. The Jersey City offices are home to the Daikin Solutions Plaza, which allows visitors to experience Daikin's new technologies first

hand and learn more about how these products are helping to drive advances in energy efficiency and consumer comfort.

#### o One World Trade Center

• One World Trade Center is the newest addition to the New York City skyline and it is also setting new standards for energy efficiency and sustainability in high-rise buildings. The building recently earned LEED Gold certification and with its height at 1,776 feet, One World Trade Center is the tallest building and one of the most sustainable in the Western Hemisphere. The building incorporates many energy efficient products, including HVAC from Daikin and Thyssenkrupp elevators (fastest in the Western Hemisphere).

#### o Trane (Ingersoll Rand) Projects

- Trane, a subsidiary of Ingersoll Rand, is an HVAC company that provides services for residential, commercial and industrial customers. They have many projects in the Greater New York City area that incorporate innovative thermal storage options to save commercial customers money on heating and cooling expenses. These projects are also wise investments as they often have fairly short payback times, which is an important thing to consider for businesses.
- o New York State Energy Research and Development Authority (NYSERDA)
  - NYSERDA is the key state agency that promotes the use of energy efficiency and renewable energy, which achieves its goal of reducing greenhouse gas emissions, accelerating economic growth and reducing customer energy bills. NYSERDA's involvement in energy efficiency is significant and spreads across a diverse set of programs, but one of the biggest projects is Reforming the Energy Vision (REV). One of the key goals of this project is reduce energy consumption in buildings by 23% by 2030. If this ambitious project proves to be successful, it would serve as an excellent model for other states that want to accomplish the same goals.

#### o New York City Energy Efficiency Corporation (NYCEEC)

- Financing is often the most difficult aspect of an energy efficiency project because savings are accrued over time while costs must be met upfront. NYCEEC is an innovative business that is helping to make New York City a greener, cleaner and more affordable city by providing financing and guidance for clean energy building projects. To date, NYCEEC has financed over \$93 million dollars of clean energy projects across 5.6 million square feet.
- o New York Power Authority (NYPA)
  - In 2012, NYPA financed nearly \$270 million in energy efficiency projects completed or in various stages of development another record for these types of expenditures. As a result of these projects, New York State taxpayers can expect to save \$6.4 million a year on energy costs at public facilities. And because the "cleanest" megawatts are the ones not used, NYPA's latest energy-saving efforts will help reduce greenhouse gas emissions by 33,900 tons annually.

- Metropolitan Transportation Authority (MTA)
  - The Metropolitan Transportation Authority is North America's largest transportation network, serving a population of 15.3 million people in the 5,000-square-mile area fanning out from New York City through Long Island, southeastern New York State, and Connecticut. Due to its sheer size, MTA has a lot of energy savings potential that can be realized through energy efficiency. In 2015, MTA completed its largest energy efficiency project at Grand Central Station. This project, which cost \$25 million, is expected to save \$2.5 million and reduce greenhouse gas emissions by 11,200 tons annually.

#### O American Water

- American Water provides high-quality water and wastewater services to approximately 15 million people in more than 1,600 communities in the United States as well as parts of Canada. Headquartered in Voorhees, NJ, we are the largest investor-owned water and wastewater utility company in the United States. American Water recently completed a pilot project on Long Island that incorporated a geothermal system into a local elementary school. This project utilizes a different type of geothermal technology that provides the same productivity, but does not require the same number of bore holes, which reduces construction costs.
- O Mayor De Blasio's Office (Mayor of New York City)
  - Mayor De Blasio initiated several new projects last year, part of the OneNYC initiative, that will dramatically reduce greenhouse gas emissions from buildings in NYC. The goal of that project is to reduce energy consumption by 80% by 2050 and energy efficiency will be heavily relied upon to meet the goal. The city will spend a projected \$1 billion over the next 10 years to make these improvements a reality.

#### Industry City Distillery

The Industry City Distillery is reexamining the vodka distillation process and has designed a system that attempts to be as energy efficient as possible. In fact, the distillery is actually three times more energy efficient and six times more water efficient than other distilleries. This starts with the size of the facility, which is about the size of a standard one-bedroom apartment in the city, so space is at a premium and that prevented the usage of more traditional distillation techniques. These techniques have proven to be successful and could help other distilleries become more energy efficient as well.

#### Bluestone Organization

We plan to visit with the Bluestone Organization, a local real estate developer, which has developed several high-efficiency apartment buildings in the NYC area. As part of Bluestone's initiative to protect the environment, Norman Towers boasts state-of-the-art, energy efficient central air and heating, lighting and appliances, helping to conserve energy as well as add money-saving value to residents. Energy efficiency, especially in residential apartment buildings, can be tough to incorporate so this will offer a great example of how such projects can be completed.

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First night: Hotel Indigo

Address: 810 Broad Street, Newark, NJ 07102

Second Night: Hyatt Regency Jersey City on the Hudson Address: 2 Exchange Place, Jersey City, NJ 07302

Third Night: Courtyard New York Manhattan/Fifth Avenue Address: 3 E 40<sup>th</sup> Street, New York, NY 10016

20.

We will be staying at three hotels over the course of this trip.

Hotel Indigo in Newark, NJ.

• The Hotel Indigo was able to meet the GSA rate of \$140 per night and could accommodate the size of our group. It is close to the train station and to the first item on the agenda the following morning.

Hyatt Regency Jersey City on the Hudson in Jersey City, NJ

 The Hyatt Regency was able to meet the GSA rate of \$140 per night and could accommodate the size of our group. It is close to the last event of the day and also provides easy access to downtown NYC via public transportation for the continuation of the trip the following morning.

Courtyard New York Manhattan/Fifth Avenue

 The Courtyard New York Manhattan/Fifth Avenue was able to meet the GSA rate of \$168 and could accommodate the size of our group. It is located very close to Grand Central Station, which is where we will start the following day.

21.

For the purposes of this trip, the cost of meals and other incidental expenses will remain within the per diem limit set by the General Services Administration (GSA).